SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH

United States Patent Application States Patent Application

MAR 1 5 2002

MA

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as tated below next to my name; that

I verily believe I am the original, first and joint inventor of the subject matter which is claimed and for which patent is sought on the invention entitled: <u>PLANT GENES INVOLVED IN DEFENSE AGAINST</u>
'ATHOGENS.

he specification of which was filed on <u>Iune 23, 2001</u> as application serial no. <u>09/887,272</u>.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including ne claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in ecordance with 37 C.F.R. ' 1.56 (attached hereto). I also acknowledge my duty to disclose all information known to be material to patentability which became available between a filing date of a prior application and the national or 'CT international filing date in the event this is a Continuation-In-Part application in accordance with 37 C.F.R. 1.63(e).

I hereby claim foreign priority benefits under 35 U.S.C. '119(a)-(d) or 365(b) of any foreign application(s) or patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one ountry other than the United States of America, listed below and have also identified below any foreign application or patent or inventor's certificate having a filing date before that of the application on the basis of which priority is laimed:

lo such claim for priority is being made at this time.

I hereby claim the benefit under 35 U.S.C. ' 119(e) of any United States provisional application(s) listed elow:

pplication Number	Filing Date
0/213,634	June 23, 2000
0/273,879	March 7, 2001
0/261,320	January 12, 2001
0/264,353	January 26, 2001
0/214,926	June 23, 2000

I hereby claim the benefit under 35 U.S.C. ' 120 or 365(c) of any United States and PCT international pplication(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed a the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. ' 1.56(a) which became vailable between the filing date of the prior application and the national or PCT international filing date of this pplication:

.pplication Number	Filing Date	<u>Status</u>
9/887,271	June 22, 2001	Pending

I hereby appoint the following attorney(s) and/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

Anglin, J. Michael	Reg. No. 24,916	Greaves, John N.	Reg. No. 40,362	Nelson, Albin J.	Reg. No. 28,650
Aronoff, Marvin S.	Reg. No. 35,052	Haack, John L.	Reg. No. 36,154	Nicholson, Lea A.	Res. No. 48,346
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Berdie, Raymond R.	Reg. No. P-50,769	Jurkovich, Patti J.	Reg. No. 44,813	Parker, J. Kevin	Reg. No. 33,024
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Breagan, Thomas F.	Reg. No. 35,075	Lacy, Rodney L.	Reg. No. 41,136	Prous. William F.	Reg. No. 33,995
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Drake, Eduardo E.	Reg. No. 40,594	McCrackin, Ann M.	Reg. No. 42,858	Stordal, Leif T.	Res. No. 46.251
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I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/organization/who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Schwegman, Lundberg, Woessner & Khrin, P.A. to the contrary. Please direct all correspondence in this case to Schwegman, Lundberg, Woessner & Kluth, P.A. at the address indicated below:

ៈខណៈវិទ្យា: P.O. Box 2938, Minneapolis, MN 55402 of Older Minne

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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1-28-02

X Additional inventors are being named on separately numbered sheets, attached hereto,

Full Name of joint inventor number 2:

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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lignature:	Steve Whitham	Date: 1/18/02-		

Additional inventors are being named on separately numbered sheets, attached hereto.

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Signature:

and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. Full Name of joint inventor number 7: Steve Goff Citizenship: United States of America Residence: Encinitas, CA Post Office Address: 1040 Calle Anacapa Encinitas, CA 92024 Signature: Steve Goff Full Name of joint inventor number 8: **Bret Cooper** Citizenship: **United States of America** Residence: La Jolla, CA Post Office Address: 3314 Via Alicante La Jolla, CA 92037 Signature: Date: Full Name of joint inventor number 9: Jane Glazebrook Citizenship: **United States of America** Residence: San Diego, CA Post Office Address: 4503 Ocean Valley Lane San Diego, CA 92130 2/1/02 Signature: Date: Jane Glazebrook Full Name of joint inventor number 10: Wengiong Chen Citizenship: China Residence: San Diego, CA

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information

X Additional inventors are being named on separately numbered sheets, attached hereto.

Wenqiong Chen

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made are punishable by fi	that all statements made herein of my or rue; and further that these statements we me or imprisonment, or both, under Sect the validity of the application or any pr	to made wim the knowledge		
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ignature:	Quangradou Zou	Date:	4-02-02	·
ull Name of inventor: Stizenship: ost Office Address:		Residence:		
ignature:		Date:	,	

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- 1.56 Duty to disclose information material to patentability.
- A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by * * 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fixed on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
 - (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
 - (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information aheady of record or being made of record in the application, and
 - (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
 - (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

A prime facie case of impatentability is established when the information compels a conclusion that a claim is impatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
 - (1) Each inventor named in the application:
 - (2) Each attorney or agent who prepares or prosecutes the application; and
 - (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignce or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.